

**IOWA STATE UNIVERSITY FACULTY SENATE  
FACULTY SENATE MEETING MINUTES  
OCTOBER 20, 2015 – 3:30–5:00 P.M.  
GREAT HALL, MEMORIAL UNION**

**Present:** Amidon, K.; Arndt, G.; Bhattacharya, J.; Bigelow, T.; Boyles, J.; Brown, J.; Burke, B.; Butler, A.; Cornick, N.; Day, T.; Dekkers, J.; Derrick, T.; Dilla, W.; Dollisso, A.; Essner, J.; Evans, J.; Faber, C.; Fei, S.; Freeman, S.; Gyll, M.; Haddad, M.; Harding, C.; Hartzler, B.; Herrstadt, S.; Hill, J.; Jackson, R.; Jurenka, R.; Leigh, P.; Looney, M.; Luecke, G.; Manu, A.; Martin, P.; Mennecke, B.; Munkvold, G.; Naegele, D.; Niemi, J.; Ockey, G.; O'Connor, A.; Padgett-Walsh, C.; Pellack, L.; Reddy, M.; Retallick, M.; Roe, K.; Royston, N.; Russell, D.; Ryan, S.; Sanders, E.; Schaefer, V.; Schalinske, K.; Seeger, C.; Selby, M.; Sponseller, B.; Stevenson, G.; Stone, L.; Sturm, J.; Suzuki, Y.; Tener, J.; Tian, J.; van der Valk, A.; Waggoner, K.; Wallace, R.; Wang, Q.; Weber, E.; Williams, C.; Winter, A.; Zaffarano, B.

**Absent:** Ackermann, M.; Bain, C.; Biggs, S.; Bowler, N.; Fiore, A.M.; Godbey, E.; Kim, S.; King, D.; Krier, D.; Nelson, S.; Owen, M.; Paschke, T.; Post, C.; Rajan, H.; Sturges, L.; Zarecor, K.

**Substitutes:** C. Schwab for Koziel, J.; J. Byars for Monroe, J.

**Guests:** Wickert, J. (SVPP); Bratsch-Prince, D. (Assoc. Provost); Holger, D. (Assoc. Provost); Rosacker, E. (University Relations); Rippke, S. (Parliamentarian); Lawson, T. (P&S Council); Tripathy, A. (GPSS); Dark, V. (Psych); McCarthy, S. (ISU Daily); McNeil, E. (Library Dean); Tanaka, P. (University Counsel)

**I. Call to Order**

**A. Seating of Substitute Senators**

President Wallace called the meeting to order at 3:31 p.m. and seated the substitute senators.

**II. Consent Agenda**

**A. Minutes of Faculty Senate September 15, 2015 - [S15/M/1]**

**B. Agenda for October 20, 2015 – [S15/A/2]**

**C. Docket Calendar – [S15/C/2]**

President-Elect Sturm made a motion to rearrange the schedule so that Dean McNeil's remarks would follow Paul Tanaka's remarks. Senator Schaefer seconded. The motion passed without dissension.

**III. Special Order: Intellectual Property Assignments and Collaborator/Affiliate Faculty Appointments - Paul Tanaka, University Legal Counsel**

Mr. Tanaka briefly explained the circumstances that led to the lawsuit between Stanford University's and Roche Molecular Systems, Inc. The case illustrates the need to obtain IP

assignments from all contributors to the research (staff technicians, postdocs, graduate students, et al.), not just PIs.

ISU has already taken some actions to handle this potential for litigation. We changed how Gold Sheets and disclosure agreements are handled with ISURF. This matches the technical requirements from the Supreme Court's decision in *Stanford v. Roche*. As of June 9, incoming staff are required to sign assignment agreements for these kinds of developments. In 2013-14, there were discussions about including assignment language into the agreements that accompany letters of intent for new employees. There is wide agreement that we need to extend processes for graduate student researchers and postdocs. We should also think about ways to include collaborators from other institutions.

There is still more work to be done. There are many faculty who have not signed assignment agreements. Many other AAU universities ask all current faculty and staff to sign assignment agreements. Another option would burden PIs with collecting assignment agreements from every member of the team.

Mr. Tanaka stressed that this is not simply a funding issue. The current patent policy states that the university has a claim to patent rights when the university contributes significant resources. If the university's protocol targeted Gold Sheets only, it would not eliminate all exposure.

Mr. Tanaka also explained that signing assignment agreements does not transfer all copyrights to the university; it covers only those IP rights that the university has a right to claim in the policy. There is no impact on all scholarly work (i.e., copyrighted works owned by the authors, including journal articles, etc.). Copyrighted work that is subject to sponsorships or requires significant contribution from the university (generally in the area of software) will be affected.

Provost Wickert commented that this year, all new faculty and PS staff have been required to sign IP assignment agreements, and the process has been very smooth. He advocated for having all faculty sign IP assignment agreements, so that PIs are not burdened with additional work. Provost Wickert also opposed having OSPA collect the assignment agreements, because proposals typically are finalized just before the deadline. Collecting agreements would be one further item to delay completion of the proposal. Instead, Provost Wickert thought that once people are informed about the need for IP assignment agreements to protect the institution and faculty's rights, they would sign the agreements. Provost Wickert recommends that all faculty (not just those in STEM fields or fields likely to create IP) should do so.

Senator Martin said that FS would need to see the language prior to agreeing. He thought that the Resources, Policies, and Practices subcommittee of RPA would be the appropriate committee to consider this proposal. Doing so would help faculty to understand what they're assigning. Mr. Tanaka replied that this discussion about

implementing new LOI language had taken place with EB since 2012. In 2012, a FAQ was created. He would be happy to make that FAQ more publicly available.

President Wallace noted that Mr. Tanaka is scheduled to retire in Spring 2016. He commended Mr. Tanaka for being an outstanding source of legal advice. Senators applauded Mr. Tanka in appreciation.

**V. Special Order: Beth McNeil, Library Dean**

Dean McNeil said that she has been meeting with a wide variety of faculty and staff, listening and learning.

This week the library is celebrating International Open Access Week. The very large banner is hanging and a slideshow is running in the library. On Friday, there will be a workshop primarily for early career researchers and graduate students entitled “Standing Out from the Crowd.” The library also posts daily updates to the scholarly community blog.

ISU Digital Repository: The repository is growing in popularity. It helps to make faculty scholarship known.

Books and Journal Subscriptions: Dean McNeil is still learning opinions about this. The ITHAKA survey (with 27% participation) generated a lot of information, which Laurie Pellack is helping to interpret. One clear result from the faculty respondents is that the library is an important part of campus for research/scholarship. As we move forward, the library needs to ensure that subscriptions and purchases continue to support research/scholarship.

Behind the scenes, the new integrated library system is being implemented. Users should not notice much of a difference. However, Dean McNeil said that it is very likely that the new integrated system will be able to use one and the same password for library services, rather than different passwords for different services.

The large room on the first floor will be renovated and be designated as a general purpose classroom. Dean McNeil said that she is pleased to see that so many students use the library for studying.

In the coming months, the library will be working on the Strategic Plan process. They will discuss how to better use library space to meet campus needs, student needs, and faculty needs. They will work on private fundraising. And Dean McNeil will continue to meet with people to learn more about their needs.

**IV. Announcements and Remarks**

**A. Faculty Senate President**

President Wallace read the following statement:

In response to the student-organized Open Forum held on the evening of September 30th, we commend these students for taking the initiative, and raising our awareness of a range of issues of concern present on our campus, and furthering discussion of these topics in the future to affect positive change in our campus climate.

As a faculty, we commit to having a respectful, safe, fair, supportive, and inclusive learning environment in our classrooms, laboratories, libraries, offices and across our campus as a community. We, as a faculty, take our roles and responsibilities very seriously as instructional leaders, advisers, and mentors to students, and commit to having a campus and academic climate that is open, engaged, welcoming of diverse perspectives, backgrounds, religions, identities, beliefs, and opinions.

We further commit to working with the student body and its leaders, University administrators, staff, and other members of the Iowa State University Community to maintain and enhance a safe, welcoming, and academically productive experience for all students.

We welcome the arrival of Dr. Reginald Stewart, our incoming new Vice President for Diversity and Inclusion, and look forward to being part of new initiatives that he and his staff develop.

As a faculty, we unite behind the basic principles of inclusiveness, valuing diversity in all of its forms, and the ideal of living in an open, equal, respectful and welcoming community of scholars.

Senator Leigh asked how the statement would be disseminated. President Wallace said that copies would be made available to the press and the statement would be posted on the FS website.

President Wallace asked senators to think about how to better publicize faculty accomplishments (e.g., research and teaching accomplishments, technology development, leadership roles in national and international groups, etc.). How can we communicate better with the public, BOR, and state legislators? Should we use the ISU web page, send out invitations to visit campus, or issue periodic “News from the Faculty”? President Wallace said that he has invited members of BOR to shadow faculty for a day to learn more about a day in the life of ISU faculty. He would like to invite a similar invitation to state legislators on the education committee.

#### **B. Faculty Senate President-Elect**

President-Elect Sturm asked for volunteers to attend the Campus Safety Summit at DMACC campus in Ankeny on Wednesday from 9 to 3.

President-Elect Sturm called senators' attention to some inaccuracies in the *Iowa State Daily's* reporting about his efforts with respect to open access. He is not pushing an agenda for free (zero-cost) publishing in the next few years. Open access (OA) is a complex and difficult topic. Instead, he is seeking to educate and raise awareness about OA, and if faculty wish, we may develop policies or statements about OA. From talking to faculty, he has encountered some valid resistance, including concerns about predatory publishing practices, scam journals, and author fees that are punitive or off-putting. But there is upside to participating in ISU's Digital Repository. Authors get regular updates of the number and demographics of downloads of their work.

Peer universities (such as Illinois at Urbana-Champaign) have passed policies that enable faculty to publish in open forums without penalty and without compromising their standing for P&T.

President-Elect Sturm encouraged senators to invite him or their library representative to a department meeting to discuss these matters further.

### **C. Senior Vice President and Provost**

Provost Wickert praised President-Elect Sturm for his work and leadership on OA.

#### **1. BOR Meeting**

On the agenda is a first reading of the tuition proposal for next year. BOR already voted to have a midyear 3% tuition increase for resident undergraduate students. The new proposal would raise tuition by 3% for nonresident students, including graduate students. There is also a proposal for supplemental tuition for international students. The second reading will take place in December, at which time BOR may vote on it.

BOR received reports from the TIER Efficiency Review. Pappas Consulting Group focused on online learning and enrollment management. Ad Astra studied utilization of classrooms. After BOR accepts these reports, they will be sent to the universities to develop their responses. This will take place over the next several months.

BOR will also discuss the gifting of the AIB College of Business facility to UI.

There will be two annual governance reports: Faculty Activities Report and Annual Strategic Plan Progress Report. The first report explains to BOR the myriad areas of responsibility that faculty have in and outside of the classroom. The data are compiled from surveys conducted at all three universities. ISU's mean work week for faculty is 55-56 hours.

#### **2. Strategic Plan**

ISU President Leath has initiated the process to form ISU's next strategic plan. The current strategic plan was extended one year so that the next strategic plan would coincide with BOR's new strategic plan and ISU Foundation's new strategic plan. ISU President Leath has identified four areas of priority: (1) student experience; (2) research

profile; (3) economic development and service to Iowa; and (4) campus environment, understood broadly. The goal is to have a draft of the strategic plan by the middle of spring semester. The draft will be opened to public comment, and then the final draft should be ready by June 1.

The steering committee for the strategic plan is chaired by Steve Freeman. There are multiple subcommittees addressing different aspects of the plan. There will be broad participation from faculty, staff, and students.

### **3. Accreditation**

Associate Provost Holger provided an overview of the upcoming HLC Accreditation Team visit. The HLC accreditation criteria concern policy, process, and procedures. One reason that accreditation is important is that it makes us eligible for federal student financial aid. ISU has consistently performed well in accreditation review, receiving ten year accreditation.

Our Quality Initiative proposal was to systematically collect data on what every graduate of ISU does first after graduation. We will use that information to inform programs about what their graduates do, so that the program can determine whether it adequately prepares its majors for success. Our Quality Initiative proposal was reviewed and accepted.

The Federal Compliance component required us to provide syllabi for fifteen particular sections of classes. Because of our preparation, we were able to deliver those syllabi to the review team the next day. We have not received any further requests from the team.

The Assurance Document component (formerly called the Self-Study) requires us to document how we think we satisfy the criteria for accreditation. Two criteria of special interest to faculty concern teaching and learning and outcomes assessment. This document is no longer merely a self-study. It explains our day-to-day operations to help make the case that we have good policies in place and good relationships between administration and faculty. The document is available on the Provost's website.

The Accreditation Team will be on campus for a day and a half. They have not identified any areas as seriously problematic. Instead the team is looking for clarification or verification about the claims made in the Assurance Document. In the past, accreditation has involved many open meetings. This time, there will be greater focus on specific topics, and the team has requested specific types of participants. The team is composed of people from institutions similar to ISU. The types of questions that they are asking are reasonable (e.g., how we are dealing with enrollment growth, how the budget model is working, how we are handling outcomes assessment, questions about diversity on campus). The accreditation team has been careful to say that their questions are not because they have identified problems at ISU; instead, they want to understand better our practices and issues.

**D. Other (P&S Council; SG; GPSS)**

P&S Council: Lawson said that some P&S employees are students, and P&S Council is working to ensure that they receive services provided through student fees. P&S Council is looking at issues associated with P&S employees who teach (e.g., calculation of percentage of time devoted to teaching for PRSs, grants, etc.). They are working with HR and the Provost's Office.

SG: No report

GPSS: Ardhendu Tripathy said that nominations are open for Margaret Ellen White Graduate Faculty Award. Volunteers are being sought to participate in the GPSS Annual Research Conference. Opportunities include judging, checking abstracts, selecting prize winners, or contacting students.

**VI. Unfinished Business**

**A. Cyber Security Minor Proposal – [S15-1] - Bigelow**

No discussion. The motion passed without dissension.

**B. Urban Studies Minor Proposal – [S15-2] – Bigelow**

No discussion. The motion passed without dissension.

**C. Name Change: Art and Visual Culture – [S15-3] – Bigelow**

Senator Amidon said that his WLC colleagues support the name change. However he wanted to take the opportunity to remind senators of their responsibility to police the borders of the curriculum. English and WLC both teach aspects of film, which are visual culture. If (contrary to fact) the College of Design were to independently try to develop programs in film without consultation or contact of programs in LAS, that would need to be monitored. As it stands, there is no such conflict.

The motion passed without dissension.

**D. PRS Changes to the Handbook – [S14-19] – Dark**

President Wallace noted that faculty have more time to provide feedback.

Professor Dark said that she had received 25 pages of comments. Summaries of comments were provided by senators from 15 different departments. In some cases, actions are recommended; in other cases, there are summaries of the direction of discussions. When the comment period closes, Professor Dark will provide FS EB with the complete comments. EB will have the opportunity to “digest” the comments and come up with recommended changes.

President Wallace said that he hoped to have a revised document to send to senators for the November meeting.

Senator Amidon cautioned senators not to let the perfect be the enemy of the good.

Senator van der Valk noted that some of the proposed changes are not controversial while others are more controversial. He recommended dividing the question into subcomponents and asking senators to vote on each of those separate questions. This procedure would allow FS to focus on those issues that people are worried about.

**VII. New Business**

None

**VIII. Good of the Order**

President-Elect Sturm announced the Amara Piano Quartet performance on November 1 at 7:30 p.m. in the Music Building.

**IX. Adjournment**

The meeting adjourned at 4:46 p.m.

**NEXT MEETING TUESDAY, NOVEMBER 10, 2015-- 3:30-5:00 P.M., GREAT HALL,  
MU**

Respectfully submitted November 5, 2015,

Annemarie Butler  
Faculty Senate Secretary